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Safe “Open-World” Designs in Java and GJ

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Abstract

By open-world design we mean that collaborating classes are so loosely coupled that changes in one class do not propagate to the other classes, and single classes can be isolated and integrated in other contexts. Of course, this is what maintainability and reusability is all about.

It is folklore knowledge that generic language features are helpful for the implementation of basic algorithms (such as sorting) and data structures (such as stacks). The insight is by far less common that genericity is generally useful to render the coupling of collaborating classes loose, yet still (statically) safe.

The intent of the paper is two-fold: first of all, we will have to lay out a base for fruitful discussions of this topic. It will turn out that the design goal “open world” is surprisingly ambitious even if we restrict our attention to a collaborative task as simple as attribute access. Second, we will try to demonstrate that in Java even an open-world design of mere attribute access can only be achieved if static safety is sacrificed, and that this conflict is unsolvable even if the attribute type is fixed.

In contrast, generic language features allow the combination of both goals. As a consequence, genericity should be viewed as a first-class design feature, because generic language features are preferably applied in many situations in which object-orientedness seems appropriate.

We chose Java as the base of the discussion because Java is commonly known and several advanced features of Java aim at a loose coupling of classes. On the other hand, the discussion of generic language features will be based on GJ, which is a generic extension of Java. In particular, the paper is intended to make a strong point in favor of generic extensions of Java.

1 Introduction

If collaborating classes are strongly coupled with each other, none of them can be modified in isolation or incorporated into a different context. In other words, maintenance and reuse are severely limited. Basically, collaboration of two classes A and B means that objects of class A access the methods of objects of class B (often also vice versa). In many software designs, method access is the main reason for strong coupling: as long as objects of B are merely “passed on” by objects of A and no method of B is called inside A, the implementation of A may treat B objects as more or less anonymous (e.g., as objects of type Object in Java), so A and B are particularly loosely coupled. In Section 3, we will explain in greater detail what we mean by loose coupling.

Design concepts based on event sending (like in JavaBeans [5]) provide an alternative to direct method access, which allows a weaker coupling. However, such a design is certainly not a feasible alternative under all circumstances. Hence, the problem of flexible, yet safe method access is still important. By safe we mean statically safe in the first place, that is, if a method of an object is called in some piece of code,1 a static analysis of this piece of code at compile time is able to determine whether this object offers the required method and the signature2 of this method is also as required.

There has been a long-standing debate in various scientific and other communities whether static safety at compile time is important or dynamic checks at run time would be sufficient. In fact, various languages (notably Smalltalk) do not offer static safety at all. It is our feeling that there is no general answer to this question: static safety is highly desirable in some situations, and not of any use in other situations. We will analyze this problem in Section 4. It will turn out that the differences between these two kinds of situations are rather subtle.

The discussion in this paper will be along the lines of a concrete, step-by-step case study, which is introduced in Section 2. This case study is taken from a realm that is quite common in Java programming: the processing of visual data.

Sections 5–7 will demonstrate that even the advanced

1 We use the unspecific term “piece of code” here and at other points to avoid terms that are ambiguous (“component,” “module,” etc.) or whose meanings are too specific for our purposes (“subroutine,” “function,” “method,” etc.).

2 The signature of a method comprises its name, list of argument types, return type, and the exceptions thrown by this method. The signature of a class or interface is determined by the signatures of its public methods.
features for attribute access in Java do not sufficiently support the goals addressed in Sections 3 and 4 (not even in case the type of the attribute is fixed). In Section 8 we will see that the problems grow dramatically if the attribute type is also variable. Finally, Section 9 shows that the conflict between loose coupling and static safety may indeed be resolved through a parametrically polymorphic design, which is not possible in Java, but in generic language extensions such as GJ [2] (see [1] for a relatively recent survey of generic Java extensions).

From the reader we assume Java or C++ literacy and familiarity with object-oriented programming concepts such as classes and inheritance. The appendices at the end of the article briefly introduce specific Java and GJ concepts (enumerations, inner classes, reflection, and parametric polymorphism), which do not have exact counterparts in other object-oriented languages.

An extended version of the code examples quoted in this manuscript can be found at http://www.fmi.uni-konstanz.de/~nissen/OpenWorld/

2 Simple Case Study

Our running example is a simple shape-oriented image-processing algorithm, which accesses abstract attributes of two-dimensional geometric shape objects via a pair of get/set methods.

For example, the color and texture of a (monochromatic) geometric object are two typical abstract attributes. If the borderline and the interior of a shape may have different colors, each geometric object has two color attributes: borderline color and interior color. This is what we call an (abstract) attribute of a class or interface A in this article: a conceptual, abstract entity, which is associated with A, is publicly accessible, has a certain data type (primitive or class type), and assigns a value/object of this type to every object of class A. Abstract means that its implementation is left open. The usual way of attaching an attribute to a class A is to make it a private data member of A and to add access methods for this member to the public part of A (often, the word attribute is exclusively used to refer to data members of classes). However, other ways of realizing an abstract attribute are sometimes more suitable. We will come back to this point later on (Section 3).

It goes without saying that this simple example is only a representative of more complex scenarios, in which the problems discussed here are even more urgent.

For simplicity, we assume in our running example that geometric objects are purely monochromatic. However, we do not regard the color of an object as a single attribute, but as a composition of three attributes: red, green, and blue, according to the RGB encoding scheme. In other words, the color of a geometric object is represented by a triple (red, green, blue) of Double objects, each in the range from 0 to 1. Then (1,0,0) means plain red, (0,1,0) is green, and (0,0,1) is blue. The code (0,0,0) stands for black, and (1,1,1) for white, and so on. This encoding scheme for colors is also used in the Java color model, which is realized by class java.awt.image.ColorModel.

Two-dimensional geometric shapes may then be represented by an interface\(^3\) like the following, which we name

GeomShape2D. For technical reasons, which will become evident later on, we will not use the primitive type double, but the corresponding wrapper class java.lang.Double:

```java
public interface GeomShape2D {
    public Double getRed () ;
    public Double getGreen () ;
    public Double getBlue () ;
    public void setRed (Double r ) ;
    public void setGreen (Double g ) ;
    public void setBlue (Double b ) ;

    // Further general methods
    // for arbitrary two-dimensional
    // shapes, e.g. for shifting
    // or rotating a shape object
}
```

For the sake of argument, suppose we want to perform the following simple image processing operation: the RGB-values of each geometric object in geom_shapes are modified such that the sum of the three color values (the brightness) is not changed, but the contribution of red is increased by 10%. (If red already contributes more than 90% to the color of an object, we set the color of this object to plain red, that is (1,0,0).) The mathematical details of the following algorithm are not relevant; what is relevant for the purpose of this article is the impression that even such a simple algorithm may easily result in a complex, error-prone implementation, which is hard to understand and even harder to maintain:

```java
public static void adjustRed
    (Enumeration enum, double percentage) {
    // See Appendix A on Enumerations
    // for a brief introduction into
    // this Java feature.
    {
        Object obj = enum.nextElement();
        GeomShape2D shape = (GeomShape2D)(obj);
        double red = shape.getRed().doubleValue();
        if  (red > 1-percentage/100 ) {
            shape.setRed (new Double((1-red)+percentage/100));
            shape.setGreen (new Double(0));
            shape.setBlue (new Double(0));
        } else {
            double new_red = red + percentage/100;
            double green = shape.getGreen().doubleValue();
            double blue = shape.getBlue().doubleValue();
            shape.setRed (new Double(new_red));
            shape.setGreen (new Double((1-new_red) * (green/(green+blue))));
            shape.setBlue (new Double((1-new_red-green));
        }
    }
}
```

\(^3\)The C++ literate reader may think of a pure abstract class.
Clearly, unlike in C and C++, every function must be a method of some class. Let's assume the class of `adjustRed` is a mere container of geometric algorithms and called `GeomAlgorithms`. Then a call to `adjustRed` to increase the contribution of red by 10% looks like this:

```java
GeomAlgorithms.adjustRed
  (geom_shapes.elements(), 10.0);
```

The key word `static` in the header of `adjustRed` allows a call to `adjustRed` without an actual object of class `GeomAlgorithms`, simply by qualifying the name of the class.

3 Goal 1: Loose Coupling

The fact that geometric objects are represented by interface `GeomShape2D`, and that the basic colors red, green, and blue are accessed by methods `getRed/setRed`, etc., is “hard-wired” in the algorithm `adjustRed`. Such a strong coupling of a piece of code with its context is generally undesirable:

1. It is very likely that future maintenance work will affect the protocol used to tie a piece of code together with its collaborators. It is even more likely that a new application context will impose a completely different protocol.

2. It is highly uneconomical and error-prone to implement non-trivial pieces of code time and again from scratch (or to revise them exhaustively) whenever the context changes through maintenance work or the subroutine shall be applied in a different context.

To stick to our running example: for `adjustRed` this means it cannot be assumed that the underlying geometric shape class will always be named `GeomShape2D`, that the RGB values are always accessed through methods `getRed/setRed`, etc., and that these methods always have the same signatures as in `GeomShape2D`. Even a subroutine as simple as `adjustRed` is complex enough to make the adaptation to another “protocol” a potentially hazardous effort, because the “volatile” details are helplessly intermixed with the logic of the subroutine.

At first glance, this statement may look a bit too strong, because it seems that the details to be changed can be easily found in the code and modified by straightforward changes. However, the discussion of the following three variations will give an imagination how complex such a modification actually may be in practice. Each of these variations is realistic and has been found in projects, and adapting an algorithm such as `adjustRed` to any of them is by no means trivial.

First variation: combined attributes. In the first variation of `GeomShape2D` (i.e. interface `GeomShape2D_2` below), the three basic colors are not realized as three mutually independent attributes of `GeomShape2D`, but form one attribute of type `RGBColor`, say, which comprises three `Double` values:

```java
class RGBColor
{
  Double red, green, blue;

  RGBColor
    (Double red, Double green, Double blue)
    {
      this.red = red;
      this.green = green;
      this.blue = blue;
    }

  public Double getRedPart ()
    { return red; }
  public Double getGreenPart ()
    { return green; }
  public Double getBluePart ()
    { return blue; }
  public void setRedPart (Double red)
    { this.red = red; }
  public void setGreenPart (Double green)
    { this.green = green; }
  public void setBluePart (Double blue)
    { this.blue = blue; }
}
```

The following variant of `GeomShape2D` represents geometric objects whose colors are implemented by class `RGBColor`:

```java
public interface GeomShape2D_2
{
  public RGBColor getColor();

  // Further general methods for
  // arbitrary two-dimensional
  // shapes, e.g. for shifting
  // or rotating a shape object
}
```

Second variation: separate attributes. So far, we did not distinguish between abstract attributes and data members of classes, which are also often called attributes. However, an attribute is not necessarily a data member but, more generally, a conceptual entity related to a class, which has a designated type and associates a value of this type to every object of the class. An attribute may be implemented as a data member of this class (for example, accompanied by a pair of `get/set` methods as above). However, it may also be implemented completely differently, as we will see in the scenario that we are going to discuss next.

If an attribute of a class A is implemented as a data member of A, it is permanently associated with A. Sometimes it is useful or even necessary to attach an additional, temporary, attribute to existing objects of type A. For instance, if geometric shapes are not designed to have a color, the objects in a collection of `GeomShape2D` (such as `geom_shapes`) cannot be assigned color values other than by storing all of these values in separate, additional data structures. For example, in Java the three additional RGB values could be stored in three separate dictionaries from `java.util.Dictionary`, which are realized by `java.util.HashMap`:

```java
Dictionary red_dictionary = new HashTable ();
Dictionary green_dictionary = new HashTable ();
Dictionary blue_dictionary = new HashTable ();
```
The formal type of items in dictionaries is Object, however, the corresponding implementation of `adjustRed` assumes that all objects in these three dictionaries are of subtype `Double`:

```java
public static adjustRed_2
(Enumeration enum, Dictionary red_dict,
 Dictionary green_dict, Dictionary blue_dict,
 double percentage)
{
  while ( enum.hasMoreElements() )
  {
    Object obj = enum.nextElement();
    double red = red_dict.get(obj).doubleValue();
    if ( red > 1-percentage/100 )
    {
      red_dict.put (obj, new Double(1));
      green_dict.put (obj, new Double(0));
      blue_dict.put (obj, new Double(0));
    }
    else
    {
      // Etc.
    }
  }
}
```

In other words, to set and retrieve a basic color of a geometric object `obj`, this object serves as the key to the methods `put` and `get` of `Dictionary`.

It is beyond the scope of this paper to discuss whether storing attributes in separate containers is a reasonable approach (see Section 2.2 of [6] for an in-depth discussion). Here we only note that designs like this actually appear in practice and have to be coped with.

**Third variation: different encoding.** RGB is but one possible encoding scheme for colors. For example, it is also possible to express every color as a composition of a `hue` value, a `saturation` value, and a `brightness` value. This is the well-known `HSB` encoding scheme for colors. The RGB and HSB encoding schemes are equivalent in the sense that there are two algorithms that translate an RGB specification into an HSB specification and vice versa. The following, third version of `GeomShape2D` represents the color of a geometric object according to the HSB scheme:

```java
public interface GeomShape2D_3
{
  public Double getHue ();
  public Double getSaturation ();
  public Double getBrightness ();
  public void setHue (Double h);
  public void setSaturation (Double s);
  public void setBrightness (Double b);

  // Further general methods for arbitrary
  // two-dimensional shapes, e.g. for
  // shifting or rotating a shape object
}
```

### Summary of Section 3
A feature of a class (even a feature as simple as a single attribute!) may appear in quite versatile ways in the class' interface. A true open-world design of a client must be able to cope with all of them.

### 4 Goal 2: Static Safety
This section is intended to clarify our viewpoint on static (type) safety. Static safety can be destroyed by an evaluation of run-time type information. For example, the down-cast in `adjustRed` from `Object` to `GeomShape2D` implicitly evaluates the run-time information whether or not the argument's class implements the interface `GeomShape2D`. In Java, this information can be explicitly queried through operator `instanceof`. On the other hand, reflection ([Appendix C](#)) allows the run-time access to detailed information about the properties of an object's type.

We do not regard every application of run-time type information as a loss of static safety. In fact, we will distinguish between two fundamentally different use scenarios of run-time type information, which look very similar but have fundamentally different semantics. In the first use scenario, a maximum degree of reliability is achieved despite the fact that run-time type information is heavily incorporated. In contrast, the second use scenario reveals a serious gap in static safety.

Note that the implementation of `adjustRed` in Section 2 is not really complete, because a failure of the down-cast from `Object` to `GeomShape2D` is not caught. We will consider two different ways of catching such a failure. It will turn out that these two ways are quite representative for the two different use scenarios.

Here is a brief sketch of the first variant:

```java
public static void adjustRed_3
(Enumeration enum, double percentage)
{
  while ( enum.hasMoreElements() )
  {
    Object obj = enum.nextElement();
    if ( obj instanceof GeomShape2D )
    {
      GeomShape2D shape = (GeomShape2D)obj;
      // Proceed with the algorithm...
    }
    else
    {
      throw MyFavoriteException();
    }
  }
}
```

And here is the second variant:

---

*Cast in C and C++ are another example of implicit run-time type evaluation, which is even more dangerous, because here a type error does not raise an exception but results in undefined behavior.*
public static void adjustRed_4
(Enumeration enum, double percentage)
{
  while ( enum.hasMoreElements() )
  {
    Object obj = enum.nextElement();
    if ( obj instanceof GeoShape2D )
    {
      GeoShape2D shape = (GeoShape2D)(obj);
      // Proceed with the algorithm...
    }
  }
}

Technically speaking, the only difference is the missing else-part in adjustRed_4. However, from an abstract viewpoint both versions implement fundamentally different algorithms. In fact, adjustRed_4 implements the algorithm,

“modify all GeoShape2D objects that are found in geo_shapes.”

whereas adjustRed_3 implements the algorithm,

“modify all items of geo_shapes (assuming that all of them are GeoShape objects).”

In other words, the application of instanceof and the downcast are integral ingredients of the algorithm’s logic in method adjustRed_4. In contrast, in adjustRed_3 they are only used to check and reconstruct certain type information, which was lost due to the type anonymity of the objects in the container geo_shapes.

If the test for the correct type is part of the algorithm, a quest for more static type safety certainly does not make any sense. Hence, we regard adjustRed_4 as fully statically safe. However, in the other case such a quest makes perfect sense: if the code does not compile unless all items in geo_shapes are of types implementing interface GeoShape2D, we would lose nothing but gain a much higher degree of reliability. This is the case in which we regard an evaluation of runtime type information as statically unsafe.

It seems to us that the general debate on static safety suffers from a lack of accurate distinction between these two use scenarios. In fact, this distinction seems to be crucial for that discussion. Our example shows that this distinction might be rather subtle, and that the implementations of both use scenarios only differ in (seemingly) minor details, so it is not surprising that there is a lot of confusion in this debate.

In the rest of the paper, we will concentrate on the second, unsafe, use scenario.

5 “Non-Solutions”

Clearly, an implementation of the general design pattern adapter [3] seems the right way of achieving a loose coupling. We will come back to adapters in the very next section. In this section, we will dwell a bit on certain advanced features of Java, which also aim at a loose coupling: inner classes and reflection. Besides their unquestionable merits, both of them miss both goals stated in Sections 3 and 4. It might be instructive to analyze this failure before going on with adapters.

Inner classes. A common idiom in Java is the usage of inner classes as adapters (see Appendix B). For example, the next variant of our interface for geometric shapes, GeoShape2D_4, is based on the following adapter class, which is named DataWrapper:

public interface DataWrapper
{
  public Double getValue ();
  public void setValue (Double value);
}

public interface GeoShape2D_4
{
  public DataWrapper getRedWrapper () ;
  public DataWrapper getGreenWrapper () ;
  public DataWrapper getBlueWrapper () ;

  // Further general methods for
  // arbitrary two-dimensional shapes,
  // e.g. for shifting or rotating a
  // shape object
}

The idea is this: getRedWrapper returns a DataWrapper whose methods read and overwrite the red color of the corresponding shape object (getGreenWrapper and getBlueWrapper analogously). The following implementation of the interface GeoShape2D_4 demonstrates this technique:

public class GeoShape2DWithColorWrappers
 implements GeoShape2D_4
{
  Double red;
  Double green;
  Double blue;

  // Color wrappers:

  public class RedWrapper
  implements DataWrapper
  {
    public Double getValue ()
    {
      return GeoShape2DWithColorWrappers.this.red;
    }
    public void setValue (Double red)
    {
      GeoShape2DWithColorWrappers.this.red = red;
    }
  }

  public DataWrapper getRedWrapper ()
  {
    return new RedWrapper();
  }
}

Summary of Section 4

An evaluation of run-time type information that does not belong to the logic of a piece of code but is merely used to reconstruct lost type information indicates an unnecessary (and potentially dangerous) lack of static safety.
Here is an implementation of `adjustRed` in which inner classes encapsulate the method access:

```java
public static void adjustRed_6
    (Enumeration enum, double percentage)
{
    while ( enum.hasMoreElements() )
    {
        Object obj = enum.nextElement();
        if ( obj instanceof GeomShape2D_4 )
        {
            GeomShape2D_4 shape = (GeomShape2D_4) obj;
            DataWrapper red_wrapper = shape.getRedWrapper();
            DataWrapper green_wrapper = shape.getGreenWrapper();
            DataWrapper blue_wrapper = shape.getBlueWrapper();
            double red = red_wrapper.getValue().doubleValue();
            if ( red > 1 - percentage/100 )
            {
                red_wrapper.setValue ( new Double(1) );
                green_wrapper.setValue ( new Double(0) );
                blue_wrapper.setValue ( new Double(0) );
            }
            else
            {
                // Etc.
            }
        }
        else
        {
            throw MyFavoriteException();
        }
    }
}
```

It might be obvious from this example that inner classes do not really solve the problem we addressed in Section 3.\(^5\) In fact, the strong coupling between the client `adjustRed_5` and the underlying geometric shape type is simply shifted from the original methods (`getRed`, `setRed`) to the new methods (`getRed/Green/BlueWrapper`). In view of Section 3, it makes no difference whether the identifier `getRed` or the identifier `getRedWrapper` is “hard-coded” in `adjustRed_5`.

**Reflection.** Run-time type information can be used to access all methods of a class without knowing in advance which methods this class offers and which signatures they have. In Java, reflection is implemented by the `reflection API` (`java.lang.reflect.*`; see Appendix C). This package cannot handle primitive types, which is one of the reasons why the design of the case study from Section 2 relies on `class Double` instead of primitive type `double`.

Here is a variant of method `adjustRed` based on reflection (sketched):

```java
public static void adjustRed_6
    (Enumeration enum,
     String name_of_red_get_method,
     String name_of_red_set_method,
     String name_of_green_get_method,
     String name_of_green_set_method,
     String name_of_blue_get_method,
     String name_of_blue_set_method,
     double percentage)
{
    String argument_types_of_red_get = new Class[0];
    String argument_types_of_red_set = new Class[1];
    String argument_values_of_red_get = new Object[0];
    String argument_values_of_red_set = new Object[1];
    try
    {
        argument_types_of_red_set[0] = Class.forName ("java.lang.Double");
    }
    catch (Exception e)
    {
        // Do some reasonable
    }
    // Analogously green and blue
    while ( enum.hasMoreElements() )
    {
        Object obj = enum.nextElement();
        java.lang.reflect.Method get_red,
        java.lang.reflect.Method set_red;
        try
        {
            get_red = obj.getClass().getMethod
                (name_of_red_get_method,
                 argument_types_of_red_get);
            set_red = obj.getClass().getMethod
                (name_of_red_set_method,
                 argument_types_of_red_set);
        }
        catch (Exception e)
        {
            // Do some reasonable
        }
        // Analogously green and blue
        Double red_obj
            = get_red.invoke
                (obj, argument_values_of_red_get);
        double red = red_obj.doubleValue();
        if ( red > 1 - percentage/100 )
        {
            set_red.invoke (obj, new Double(1));
            set_green.invoke (obj, new Double(0));
            set_blue.invoke (obj, new Double(0));
        }
        else
        {
            // Etc.
        }
    }
}
```

\(^5\)At first glance, there seems to be an elegant workaround: the methods `getRed/Green/BlueWrapper` are not called inside `adjustRed_5`. Instead, `adjustRed_6` has three enumerations arguments, which refer to sequences of `DataWrapper` objects for all three colors. More specifically, for every item in the original sequence `geom_shape` there is a `DataWrapper` object in each of the new sequence, which refers to the original item. However, static safety is still missing, and a really loose coupling is not achieved either, because `adjustRed_6` still depends on the existence of inner classes implementing `DataWrapper`. In other words, `adjustRed_6` would not depend on the exact signatures of `getRed/Green/BlueWrapper` anymore but still on the existence of these three methods (having whatever signatures).
Clearly, static safety is completely missed. On the other hand, the names and signatures of the color-accessing methods are not hard-wired in the code of adjustRed. However, we note that adjustRed is not really independent of these methods, because the number of arguments of these methods are still hard-wired.\(^6\)

### Summary of Section 5

Even advanced features such as inner classes and reflections, which are specifically intended to implement a loose coupling, are not sufficient to achieve the goals in Sections 3 and 4 simultaneously.

## 6 Adaptation

The adapter pattern[3] can be used to decouple a class from its clients. In our case study, this means that a subroutine such as adjustRed is not based on the comprehensive interface GeomShape2D, which captures various aspects of geometric shapes, but on a small interface, which only captures the aspects relevant for adjustRed, for example, leaned on the signature of GeomShape2D:

```java
public interface RGBHandler {
    public double getRed (Object obj);
    public double getGreen (Object obj);
    public double getBlue (Object obj);
    public void setRed (Object obj, Double r);
    public void setGreen (Object obj, Double g);
    public void setBlue (Object obj, Double b);
}
```

A variant of adjustRed based on RGBHandler could look like this (sketched):

```java
public static void adjustRed_7 (Enumeration enum, RGBHandler rgb, double percentage) {
    while ( enum.hasMoreElements() ) {
        Object obj = enum.nextElement();
        double red = rgb.getRed(obj).doubleValue();
        if ( red > 1-percentage/100 ) {
            rgb.setRed (obj, new Double(1));
            rgb.setGreen (obj, new Double(0));
            rgb.setBlue (obj, new Double(0));
        } else {
            // Etc.
        }
    }
}
```

However, the down-cast is not avoided but merely moved from the algorithm to the adapting class. To apply adjustRed to a class like GeomShape2D, a class GeomShape2D_RGBHandler, say, is defined, which implements RGBHandler and "knows" all relevant details of GeomShape2D:

```java
public class GeomShape2D_RGBHandler implements RGBHandler {
    public Double getRed (Object obj) {
        if ( obj instanceof GeomShape2D )
            return ((GeomShape2D)obj).getRed();
        else
            throw MyFavoriteException();
    }

    public void setRed (Object obj, Double r) {
        if ( obj instanceof GeomShape2D )
            ((GeomShape2D)obj).setRed(r);
        else
            throw MyFavoriteException();
    }

    // Green and blue analogously
}
```

Now we can apply adjustRed_7 to a sequence of GeomShape2D objects:

```java
GeomShape2D_RGBHandler rgb = new GeomShape2D_RGBHandler;
GeomAlgorithms.adjustRed_7 (geom_shapes.elements(), rgb, 10.0);
```

In general, the individual attributes of a class are not as strongly coupled as the RGB color values of a geometric shape. Hence, in general it might be preferable to provide one separate handler object for each attribute. Such a one-to-one correspondence between attributes and handlers would exactly implement the data-accessor concept as introduced in [4] and discussed in [6]. The intent of data-accessors is to encapsulate the access to attributes of classes in small, light-weight classes. This allows a common, uniform interface for all attributes of all classes, which means that classes and attributes are easily exchangeable in an attribute-accessing client such as adjustRed.\(^7\) To emphasize that the following data-accessor interface only applies to attributes of type Double, we will call the interface DoubleAccessor:

```java
public interface DoubleAccessor {
    public Double get (Object obj);
    public void set (Object obj, Double value);
}
```

\(^6\)In principle, Java's reflection mechanism is powerful enough even to render the number of arguments variable. However, then the problem remains what adjustRed should do in case of, say, additional arguments, that is, what values they should be assigned by adjustRed.

\(^7\)Note that the JavaBeans convention for method signatures [5] does not provide a uniform interface to attributes in the strong sense as used in this paper. In the JavaBeans approach, the signatures of a pair of get/set methods depend on the name of the attribute in a disciplined manner. In contrast, the signatures of the get/set methods of a data accessor do not at all depend on the name and type of the attribute. This difference results from different goals: the JavaBeans approach allows an easy access of each attribute given the name of the attribute, whereas we need a convention that renders the name of the attribute completely anonymous to allow an easy exchange.
And the corresponding implementation of \texttt{adjustRed}:

```java
public static void adjustRed_8
(Enumeration enum, DoubleAccessor red_acc, 
DoubleAccessor green_acc, DoubleAccessor blue_acc, 
double percentage)
{
    while ( enum.hasMoreElements() )
    {
        Object obj = enum.nextElement();
        double red = red_acc.get(obj).doubleValue();
        if ( red > 1-percentage/100 )
        {
            red_acc.set (obj, Double(1));
            green_acc.set (obj, Double(0));
            blue_acc.set (obj, Double(0));
        }
        else
        {
            // Etc.
        }
    }
}
```

For ease of exposition, we will use the variant \texttt{adjustRed_8} in the rest of the paper.

7 Unsafe Down–Casts Everywhere

We will illustrate this point by sketching the implementation of an appropriate data-accessor class for the basic scenario from Section 2 and for the variations from Section 3. The crucial impression to be taken from the examples is this: each and every scenario will require a down–cast of the kind that indicates an unnessary lack of static safety (see the summary at the end of Section 4). For ease of exposition, we omit all exception handling, and we only give the accessors for the red color value.

Here is a data-accessor class for the red color value based on interface \texttt{GeomShape2D} from Section 2:

```java
public class RedAccessor_1
implements DoubleAccessor
{
    public Double get (Object obj)
    {
        return ((GeomShape2D)obj).getRed();
    }
    public Double set (Object obj, Double value)
    {
        ((GeomShape2D)obj).setRed(value);
    }
}
```

For \texttt{GeomShape2D} (i.e. first variation in Section 3):

```java
public class RedAccessor_2
implements DoubleAccessor
{
    public Double get (Object obj)
    {
        GeomShape2D_2 shape = (GeomShape2D_2)obj;
        return shape.getColor().getRedPart();
    }
    public Double set (Object obj, Double value)
    {
        GeomShape2D_2 shape = (GeomShape2D_2)obj;
        shape.getColor().setRedPart(value);
    }
}
```

The data–accessor class for the second variation from Section 3 is somewhat different. Since the color values are stored outside the shape class, they must be handed over to the data accessors in a way that does not affect the code of \texttt{adjustRed_8}. In other words, the data accessors must receive the color values before \texttt{adjustRed_8} is called (e.g. as an argument to the constructor). Interestingly, this particular data–accessor class is not bound to a specific implementation of geometric shapes, not even to a specific attribute, because the shape object is merely handed over anonymously to the dictionary of attribute values. In fact, \texttt{DoubleDictAccessor} only depends on the attribute type \texttt{Double}, which enforces another down–cast:

```java
public class DoubleDictAccessor
implements DoubleAccessor
{
    Dictionary dictionary;
    public DoubleDictAccessor (Dictionary dictionary)
    {
        this.dictionary = dictionary;
    }
    public Double get (Object obj)
    {
        Object item = dictionary.get(obj);
        return (Double)item;
    }
    public void set (Object obj, Double value)
    {
        dictionary.put (obj, value);
    }
}
```

Next we consider a data–accessor class for \texttt{GeomShape2D_3} (third variation in Section 3). The following implementation, \texttt{RedHSBAccessor}, is based on three further data accessors, which access the hue, saturation, and brightness value of the geometric shape object, respectively. Hence, this class, which performs non–trivial algorithmic tasks,\(^6\) is also decoupled from all “volatile” details of the underlying shape class and might itself be better maintainable and reusable.

\(^6\) For ease of exposition, the conversion algorithms RGB\(\rightarrow\)HSB are integrated in the data-accessor class. In a more realistic design they would be implemented as methods of a separate class.
public class RedAccessor_3
implements DoubleAccessor
{
    DoubleAccessor hue_acc;
    DoubleAccessor saturation_acc;
    DoubleAccessor brightness_acc;

    public RedAccessor_3
    (DoubleAccessor hue_acc,
     DoubleAccessor saturation_acc,
     DoubleAccessor brightness_acc)
    {
        this.hue_acc = hue_acc;
        this.saturation_acc = saturation_acc;
        this.brightness_acc = brightness_acc;
    }

    public Double get (Object obj)
    {
        Double hue = hue_acc.get (obj);
        Double saturation = saturation_acc.get (obj);
        Double brightness = brightness_acc.get (obj);
        Double red = /* Red part of result */
            HSB -> RGB */
        return red;
    }

    public void set (Object obj, Double value)
    {
        Double hue = hue_acc.get (obj);
        Double saturation = saturation_acc.get (obj);
        Double brightness = brightness_acc.get (obj);
        Double green;
        Double blue;

        /* Compute green and blue from hue, saturation, and brightness */

        /* Compute the new values of hue, saturation, and brightness from the RGB triple */
        hue_acc.set (obj, hue);
        saturation_acc.set (obj, saturation);
        brightness_acc.set (obj, brightness);
    }
}

For completeness, we will also show how to bring interface GeomShape2D_3 from Section 5 into the game:

public class RedAccessor_4
implements DoubleAccessor
{
    public Double get (Object obj)
    {
        GeomShape2D_3 shape = (GeomShape2D_3)obj;
        return shape.getRedWrapper().getValue();
    }

    public void set (Object obj, Double value)
    {
        GeomShape2D_4 shape = (GeomShape2D_4)obj;
        shape.getRedWrapper().setValue(value);
    }
}

To conclude this section, it might be instructive to see how a data accessor may be based on reflection instead of mere downcasts. Of course, this does not change the overall conclusion that there remains a lack of static safety.
Summary of Section 7
To achieve a true open-world design, there is no way in Java around a serious lack of static safety (indicated by down-casts or other ways of evaluating run-time type information). Adapters may encapsulate but not remove this gap.

8 Making the Attribute Type Generic

So far, we did not vary the types of the attributes to work out the crucial point more clearly: even if the type of an attribute is fixed, an open-world design of attribute access results in a serious type-safety problem. In this section, we will require for true "open-workness" that the attribute type is also left variable. It is not surprising that static safety will be seriously affected. However, the extent to which this will happen might be surprising: we will have to introduce an additional, auxiliary interface (named Traits below), and the attribute type and the traits type have to fit together exactly.

To make our algorithm applicable to various attribute types, we have to replace Double by a more general type; Object is the prime candidate for that:

```java
public interface ObjectAccessor {
    public Object get (Object obj);
    public void set (Object obj, Object value);
}
```

Here is an appropriate implementation of ObjectAccessor for the red color value of GeoShape2D:

```java
public class ObjectAccessorRedGeoShape2D implements ObjectAccessor {
    public Object get (Object obj) {
        GeoShape2D shape = (GeoShape2D)obj;
        return shape.getRed();
    }
    public void set (Object obj, Object value) {
        GeoShape2D shape = (GeoShape2D)obj;
        Double val_obj = (Double)value;
        shape.setRed (val_obj);
    }
}
```

So far, nothing changed. The new problem is due to the fact that our algorithm applies certain operations to objects of the attribute type: the four basic numerical operations and a comparison operation. In view of Section 3, we cannot assume that all potential attribute types provide a common signature for all of these operations. Hence, to write down these operations without knowing the concrete attribute type, we collect these operations in an additional object traits.9 In the following, a traits object is an instance of a class that implements the following interface:

```java
public interface Traits {
    public Object generate (double x);
    public Object plus (Object val1, Object val2);
    public Object minus (Object val1, Object val2);
    public Object mult (Object val1, Object val2);
    public Object div (Object val1, Object val2);
    public boolean isGreaterThan (Object val1, Object val2);
}
```

The first method returns a reference to an object of the anonymous attribute type, and the value of this object shall represent the value of x. We will only use this method to generate objects representing 0, 1, and 100, respectively. The requirement that these three values are feasible might not cause any problem for any class type that represents real numbers.

The other methods assume that their arguments are of the attribute type. Methods #2-6 are also required to return references to objects of the attribute type. These methods perform the basic arithmetical operations on the attribute type. Finally, the last method returns true if and only if the first argument is to be regarded as greater than the second argument.

Now we are able to formulate a version of adjustRed in which the attribute type is left open. A brief sketch:

```java
public void adjustRed_9 (Enumeration enum, ObjectAccessor red_acc, ObjectAccessor green_acc, ObjectAccessor blue_acc, Object percentage, Traits traits) {
    Object zero = traits.generate (0);
    Object one = traits.generate (1);
    Object hundred = traits.generate (100);
    Object fraction = traits.div (percentage, hundred);
    Object threshold = traits.minus (one, fraction);
    while ( enum.hasMoreElements() ) {
        Object obj = enum.nextElement ();
        Object red = red_acc.get(obj);
        if ( traits.isGreaterThan (red, threshold) ) {
            obj.setRed (one);
            obj.setGreen (zero);
            obj.setBlue (zero);
        } else {
            // Etc.
        }
    }
}
```

Here is a concrete example of such a traits class, which would perfectly collaborate with ObjectAccessorRed/Green/Blue-GeoShape2D via class Double:

9The name is borrowed from the analogous traits idiom in C++, which is heavily used in the standard library.
public class DoubleTraits
    implements Traits
{
    public Object generate (double x)
    {
        return new Double(x);
    }
    public Object plus (Object val1, Object val2)
    {
        double d1 = ((Double)val1).doubleValue();
        double d2 = ((Double)val2).doubleValue();
        return new Double(d1+d2);
    }
    // Analogously: minus, mult, div,
    // isGreater Than
}

Such a design requires even more care, because it must be additionally guaranteed by the software developer that the attribute type and the traits type fit correctly together. Clearly, this kind of unsafe collaborations increases the potential for pitfalls dramatically and is much harder to debug.

Summary of Section 8
A generic attribute type requires an unsafe collaboration between the attribute type itself and additional, auxiliary types.

9 Parametric Polymorphism: GJ
Of course, the best way to avoid trouble with down-casts is to avoid down-casts at all. However, it seems that in plain Java, down-casts cannot be avoided, unless all data structures are “hard-wired” in the algorithms. Clearly, this would destroy all hope for a rudimentary form of open-world design. As we saw, this conflict does not seem to be resolvable in plain Java. In this section, we will show that it is resolvable in generic language extensions such as GJ [2]. The essential feature missing in Java is parametric polymorphism (see Appendix D). We will start right from Section 8, because the genericity of the attribute type will come as a by-product.

To begin with, we replace the interface ObjectAccessor from Section 8 by the interface GenericAccessor, which is equally general, but will not enforce any down-casts in the classes implementing this interface:

public interface
    GenericAccessor <ObjectType,ValueType>
{
    public ValueType get (ObjectType obj);
    public void set (ObjectType obj,
                     ValueType value);
}

The formal type argument ObjectType stands for the class to which the attribute is associated (i.e. GeoShape2D in our running example), whereas ValueType stands for the attribute type. The goal is to use GenericAccessor to eliminate the need for Object in the definition of adjustRed. Since java.langEnumeration also works on Object, we have to replace Enumeration by a variant that is parameterized by the item type of the underlying container:

public interface
    GenericEnumeration <ObjectType>
{
    public boolean hasMoreElements ();
    public ObjectType nextElement () ;
}

Now, our Vector object geom_shapes may be replaced by a vector that is specific to the interface GeoShape2D:

Vector<GeoShape2D> geom_shapes
    = new Vector<GeoShape2D>();

The traits interface introduced in Section 8 is also replaced by a generic one:

public interface
    GenericTraits <ValueType>
{
    public static ValueType generate (double x);
    public static ValueType plus
        (ValueType val1, ValueType val2);
    public static ValueType minus
        (ValueType val1, ValueType val2);
    public static ValueType mult
        (ValueType val1, ValueType val2);
    public static ValueType div
        (ValueType val1, ValueType val2);
    public static boolean isGreaterThan
        (ValueType val1, ValueType val2);
    public static boolean isLessThan
        (ValueType val1, ValueType val2);
    }

Now we are in a position to implement a truly generic version of adjustRed, which we call adjustRed_10. This algorithm will be a method of a variant of class GeoAlgorithms from Section 2, which is parameterized by ObjectType and ValueType:

public class
    GeoAlgorithms_2 <ObjectType, ValueType>
{
    public static void adjustRed_10
        (GenericEnumeration <ObjectType> enum,
         GenericAccessor <ObjectType, ValueType> red_acc,
         GenericAccessor <ObjectType, ValueType> green_acc,
         GenericAccessor <ObjectType, ValueType> blue_acc,
         ValueType percentage,
         GenericTraits <ValueType> traits)
    {
        ValueType zero = traits.generate (0);
        ValueType one = traits.generate (1);
        ValueType hundred = traits.generate (100);
        ValueType fraction
            = traits.div (percentage, hundred);
        ValueType threshold
            = traits.minus (one, fraction);
    }

[10] According to [2], generic type parameters are internally realized by down-casts in GJ. However, this is an implementation detail of the compiler and does not affect the static safety offered to the developer.
The following variant is a concrete example of how the interface GenericAccessor may be implemented in order to access a concrete attribute of a concrete class/interface such as GeoShape2D.

```java
public class AccessorRedGeoShape2D implements GenericAccessor<GeoShape2D, Double> {
    public Double get(GeoShape2D shape) {
        return shape.getRed();
    }
    public void set(GeoShape2D shape, Double value) {
        shape.setRed(value);
    }
}
```

To realize the last argument of adjustRed_1_0, we let a variant of DoubleTraits (see Section 8) implement GenericTraits<Double>:

```java
public class DoubleTraits_2 implements GenericTraits<Double> {
    public Double generate(double x) {
        return new Double(x);
    }
    public Double plus(Double val1, Double val2) {
        double d1 = val1.doubleValue();
        double d2 = val2.doubleValue();
        return new Double(d1+d2);
    }
    // Analogously minus, mult, div, and // isGreaterThan
}
```

Finally, here is the call to the generic version of adjustRed:

```java
AccessoryRedGeoShape2D red_acc = new AccessorRedGeoShape2D();
AccessoryGreenGeoShape2D green_acc = new AccessorGreenGeoShape2D();
AccessoryBlueGeoShape2D blue_acc = new AccessorBlueGeoShape2D();

DoubleTraits_2_traits = new DoubleTraits_2();
GeomAlgorithms_2.adjustRed_10 (geom_shapes.elements(), red_acc, green_acc, blue_acc, 10.0, traits);
```

These code snippets might give an idea how parametric polymorphism may be applied in the second use scenario of Section 3. The result is as flexible as possible, absolutely safe, and even simpler than any of the previous designs in plain Java.

10 Conclusion

In view of maintenance and reuse, it is desirable to render the coupling between collaborating classes as loose as possible, but nonetheless (statically) safe. We have seen that this is a serious problem even for the access to a single attribute via a pair of get/set methods (even if the attribute type is fixed). The language features of Java cannot resolve the contradiction between loose coupling and safety.

We have identified a key feature, which can help overcome this conflict: parametric polymorphism (genericity). As we have seen, this feature is helpful beyond its original intention (namely to implement parameterized algorithms and data structures). In our opinion, this is a strong argument that genericity should be treated as a first-class design feature rather than a mere “implementation trick” for type-independent algorithms and data structures.

An in-depth discussion of genericity as a design feature is beyond the scope of this paper. A single paper of this length cannot give more than a base for such a discussion. This was the motivation for the paper.

Literature:


5. Peter Wayner: Java Beans for Real Programmers. AP Professional, 1998
Appendix A: Java Enumerations

Every container class in the Java utility library provides a method `elements()`, which returns a reference to the interface `java.util Enumeration`. This is the standard interface for iterator classes in Java. For example, a traversal of a vector `v` may be implemented like this:

```java
Vector v = new Vector();
/* ... */
Enumeration enum = v.elements();
while ( enum.hasMoreElements() )
{
    Object obj = enum.nextElement();
    // Do something reasonable with obj
}
```

Clearly, for every self-defined container class, one can also implement a specific `Enumeration` class. Hence, an algorithm that accesses containers exclusively through interface `Enumeration` is completely independent of the choice of the container class. This greatly improves maintainability and reusability.

Appendix B: Inner Classes

A *nested class* is a class that is defined inside another class. A nested, non-static class is commonly called an *inner class*. Here is a simple, illustrative example:

```java
public class OuterClass
{
    int n;

    public class InnerClass
    {
        public int get () { return n; }
    }
}
```

Note that `InnerClass` is allowed to access non-static — even private — members of the class in which it is embedded (as opposed to nested classes in C++). Inner classes are useful for many purposes. For example, they can be used to circumvent the restriction that no class may inherit from more than one class. Inner classes are also useful for lean implementations of adapters. The interested reader is referred to the overwhelming literature on the Java language.

The aspect in which we are specifically interested in view of our case study is the selection of class attributes. For sake of exposition, consider a simple business model, which is based on three classes, `Employee`, `Customer`, and `Freelance`. Among other attributes, we keep the employees' salaries, the customers' credits and debits, and the freelances' contractual payments. Some accounting algorithms (e.g. calculation of interest) might be generic in the sense that they are useful for each of these attributes. At first glance, it suffices to derive employees, customers, and freelances from a common base class or interface `PersonWithMoneyAttribute`, say, which has a generic money attribute, and to implement every accounting algorithm on top of `PersonWithMoneyAttribute` using this money attribute. However, customers have two money attributes, so this idea simply fails. Even if customers only had one money attribute: a design that regards salaries, credits or debits, and contractual payments as conceptually identical might not be sound and thus should be avoided.

Inner classes offer a solution to this dilemma: every person class implements an inner class for every money attribute. All of these classes implement a common interface `MoneyHandler`:

```java
public interface MoneyHandler
{
    MoneyType get ();
    void set (MoneyType amount);
}
```

For example, class `Customer` would define one inner class for credits and one for debits:

```java
class Customer
{
    public class CreditHandler
        implements MoneyHandler
    {
        MoneyType get ()
        { /* ... */
        void set (MoneyType amount)
        { /* ... */
        }
        public CreditHandler getCreditHandler ()
        { return new CreditHandler();
        }

        public class DebitHandler
            implements MoneyHandler
        {
            MoneyType get ()
            { /* ... */
            void set (MoneyType amount)
            { /* ... */
            }
            public DebitHandler getDebitHandler ()
            { return new DebitHandler();
            }

            // Further stuff
        }

    }
}
```

An accounting algorithm may then be implemented on top of `MoneyHandler`:

```java
MoneyType myAccountingAlgorithm (MoneyHandler mh)
{ /* ... */
}
```
Appendix C: Reflection

The package `java.lang.reflect` provides a means of analyzing objects of unknown classes at run time. For example, this includes means of retrieving the name of an object's class, the names and types of its data members, and the names and argument lists of its methods. In this paper, we are particularly interested in another powerful feature: invoking a method whose signature is only known at run time. For example, the following method invokes a method of `obj` with one argument. The name of the method is given in the string `name_of_method`, the type of the only argument is `argument_type`, and `value` is to be used as the value of this argument when the method is invoked. The classes `Class` and `Method` are defined in `java.lang.Class` and `java.lang.reflect.Method`, respectively. As the class names indicate, an object of class `Class` (resp. `Method`) contains general information about a particular class (method of a class). Method `forName` of `Class` turns the (fully qualified) name of a class into an object for that class.

```java
public void invoke_method(Object obj, String name_of_method,
                        String argument_type, Object value)
{
    Class obj_class = obj.getClass();
    Class val_class = value.getClass();
    String val_class_name = val_class.getName();
    Class[] argument_types = new Class[1];
    Object[] argument_values = new Object[1];
    try
    {
        argument_types[0] = Class.forName (argument_type);
        argument_values[0] = value;
        Method method
            = obj_class.getMethod (name_of_method, argument_types);
        method.invoke (obj, argument_values);
    }
    catch (Exception e)
    {
        // Do some reasonable exception handling
    }
}
```

Appendix D: Parametric Polymorphism (GJ)

GJ's [2] parametric polymorphism is very similar to templates in C++, generics in Ada, parametric polymorphism in functional languages, and various other generic extensions of Java [1]. This means that the concrete types on which a class definition is based may be left open. Such an “incomplete” class is called a parameterized class. In the definition of such a parameterized class, these types are represented by formal type arguments. Roughly speaking, a formal type argument is a placeholder for the actual type. The actual type must be specified when an object of the parameterized class is instantiated.

To give a simple example, the following stack class is parameterized by the type `T` of its items. In GJ style:

```java
public class Stack<T> {
    public Stack () { /* ... */ }
    public void push (T t) { /* ... */ }
    public T top () { /* ... */ }
    public void pop () { /* ... */ }
    public int size () { /* ... */ }
}
```

GJ does not allow that `T` is a primitive type such as `int` or `double`. In fact, `T` must be a class or interface (this is another reason why the case study from Section 2 uses class `Double` instead of primitive type `double`). The following code snippet demonstrates how a stack of `Integer` may be created and used:

```java
Stack<Integer> S1 = new Stack<Integer>();
S1.push (new Integer(1));
// Should print '1':
System.out.println (S1.top().intValue());
```

Note that the compiler checks whether or not an object of the parameterized stack class is correct according to the concrete item type `T`: if we try to push a value of a wrong item type onto a stack, the compiler issues an error message. This is in great contrast to a generic stack class in plain Java, which must be based on `java.lang.Object`:

```java
public class Stack {
    public Stack () { /* ... */ }
    public void push (Object obj) { /* ... */ }
    public Object top () { /* ... */ }
    public void pop () { /* ... */ }
    public int size () { /* ... */ }
}
```

```java
S1 = new Stack(); // Intended to be a stack
S1.push (new Double(2));
// Compiler error: wrong type
```

This is an example of the first, unsafe, use scenario in Section 4. This observation can be generalized: the cases for which genericity was originally introduced are a special case of the second use scenario.